



Identification Form –Australian Companies

Applicant's Information

A. General Information

Full name as registered by ASIC

ACN

Registered address (PO Box not acceptable)

Street Address

Suburb

Postcode

State

Country

Principal place of business (PO Box not acceptable)

Street Address

Suburb

Postcode

State

Country

B. Regulatory / Listing Details

Regulated Company

Regulator Name
License details

Australian listed company
Name of market / exchange

Majority-owned subsidiary of an Australian listed company
Australian listed company name
Name of market / exchange

C. Company Type

Public

Proprietary

D. Directors (not required for public / listed companies)

How many directors are there?

Please provide full name of each director

	Given name(s)	Surname
1		
2		
3		
4		

E. Shareholders (not required for public/listed companies or regulated companies)

Please list ALL individuals who are beneficial owners through one or more shareholders of more than 25% of the company's issued capital.

Shareholder 1

Residential Address (PO Box not acceptable)

Street Address			
Suburb		Postcode	
State		Country	

Shareholder 2

Residential Address (PO Box not acceptable)

Street Address			
Suburb		Postcode	
State		Country	

Shareholder 3

Residential Address (PO Box not acceptable)

Street Address			
Suburb		Postcode	
State		Country	

Verification Procedure

PART I – STANDARD VERIFICATION

Verify:

- o The full name of the company as registered by ASIC
- o Whether the company is registered as a proprietary or a public company
- o The ACN issued to the company.

Tick 1	Verification Options
<input type="checkbox"/>	Perform a search of the relevant ASIC database
<input type="checkbox"/>	If the ASIC database is not reasonably available, an original or certified copy of the certification of registration issued by ASIC.

PART II – ALTERNATIVE VERIFICATION

For a company which is an Australian listed company, a majority owned subsidiary of an Australian listed company or is a regulated company (i.e. licensed by an Australian Commonwealth, State or Territory statutory regulator).

Verify:

- o That the company is an Australian listed company (if applicable)
- o That the company is a majority owned subsidiary of an Australian listed company (if applicable)
- o That the company is a regulated company (if applicable).

Tick 1	Verification Options
<input type="checkbox"/>	Perform a search of the relevant market/exchange.
<input type="checkbox"/>	Perform a search of the relevant ASIC database.
<input type="checkbox"/>	Perform a search of the license or other records of the relevant Commonwealth, State or Territory statutory regulator.
<input type="checkbox"/>	A public document issued by the relevant company.

Record of Verification Procedure

IMPORTANT:

Please ensure that certified copies of the ID documents (and any translation, if applicable) which were used to verify the client are provided to Peer Capital Partners Pty Ltd

ID Document Details	Document 1			Document 2		
Verified From	<input type="checkbox"/> Performed Search	<input type="checkbox"/> Original	<input type="checkbox"/> Certified Copy	<input type="checkbox"/> Performed Search	<input type="checkbox"/> Original	<input type="checkbox"/> Certified Copy
Document Issuer / Website						
Public Document Type						
Issue date / search date						

Certified copies of ID documents (and any translation, if applicable) attached? Yes No

Identification and verification conducted by:

Date Verified

Signature

Full Name

Phone

ACL or AFSL No

Category*

*refer "How to certify your documents" page for a list of individuals who may certify documents

HOW TO CERTIFY YOUR DOCUMENTS

A certified copy is a document that has been certified as a true copy of an original document.

To certify a document, take the original document and a photocopy to one of the people listed in the categories below and ask them to certify that the photocopy is a true and correct copy of the original document. That person will need to print their name, date and the capacity in which they are signing (e.g. postal agent, Justice of the Peace).

Sample wording is as follows:

I, *[full name]*, as *[category of persons as listed below]*, certify that this *[name of document]* is a true and correct copy of the original *[signature and date]*.

Who Can Certify Copies Of Identification (ID) Documents?

Legal	<input type="checkbox"/> solicitor or barrister (that is, a person who is enrolled on the roll of the Supreme Court of a State or Territory, or the High Court of Australia, as a legal practitioner (however described)); <input type="checkbox"/> a judge of a court; <input type="checkbox"/> a magistrate; <input type="checkbox"/> a chief executive officer of a Commonwealth court; <input type="checkbox"/> a registrar or deputy registrar of a court; <input type="checkbox"/> a notary public
JP	a Justice of the Peace
Police	a police officer
Chartered Accountant	a member of the Institute of Chartered Accountants in Australia, Certified Practising Accountants Australia or the National Institute of Accountants with 2 or more years of continuous membership
Post Office	<ul style="list-style-type: none"> • an agent of the Australian Postal Corporation who is in charge of an office supplying postal services to the public • a permanent employee of the Australian Postal Corporation with 2 or more years of continuous service who is employed in an office supplying postal services to the public
Diplomatic service	<ul style="list-style-type: none"> • an Australian consular officer • an Australian diplomatic officer (within the meaning of the <i>Consular Fees Act 1985</i>)
Financial corporations (Bank, Building Society, Credit Union)	<ul style="list-style-type: none"> • an officer with 2 or more continuous years of service with one or more financial institutions (for the purposes of the Statutory Declaration Regulations 1993); • a finance company officer with 2 or more continuous years of service with one or more finance companies (for the purposes of the Statutory Declaration Regulations 1993); • an officer with, or authorised representative of, a holder of an Australian financial services license, having 2 or more continuous years of service with one or more licensees.